



PATENT
2429-1-024



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Eduardo PRIMO YUFERA *et al* Examiner: Not Assigned
Serial No.: 10/630,367 Group Unit: 1632
Filed: July 30, 2003
For: ENTOMOPATHOGENIC MICROORGANISM SPORES CARRIER
AND METHOD FOR CONTROLLING HARMFUL INSECTS

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope with sufficient postage addressed to the COMMISSIONER OF PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450 on January 23, 2006.

Lois A. Snure
(Name of Depositor)

Lois A. Snure 1/23/06
(Signature and Date)

PETITION PURSUANT TO 37 C.F.R. §1.47(a)

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This petition is filed pursuant to the provisions of 37 C.F.R. §1.47(a) which provide that a single inventor may make application for patent in situations where a joint inventor cannot be found or reached after diligent effort. In relevant part, the provisions state that the oath or declaration may be signed by one inventor if accompanied by the instant petition setting forth proof of the pertinent facts together with the fee set forth in 37 C.F.R. §1.17(h) and the last known address of the non-signing inventor.

01/26/2006 LWONDIM1 00000028 10630367

05 FC:1464 130.00 0P

~~01/26/2006 LWONDIM1 00000028 10630367~~

~~04 FC:2253 510.00 0P~~

Applicants submit herewith a combined Declaration and Power of Attorney signed by inventors Eduardo Primo Yufera, Mohamed Ibrahim Fahmy and Pilar Moya Sanz but lacking the signature of inventor Juan Munoz Pallares. Likewise, Applicants submit herewith an Assignment document conveying ownership of the invention to Consejo Superior De Investigaciones Cientificas and Universidad Politecnica de Valencia signed by inventor Eduardo Primo Yufera, Mohamed Ibrahim Fahmy and Pilar Moya Sanz but lacking the signature of inventor Juan Munoz Pallares.

Eduardo Primo Yufera, Mohamed Ibrahim Fahmy, Pilar Moya Sanz and Juan Munoz Pallares are the named inventors of the instant invention. The Assignee is Consejo Superior De Investigaciones Cientificas and Universidad Politecnica de Valencia.

Submitted herewith as Exhibit A is a document wherein the actions undertaken in the diligent attempts to obtain the signature of inventor Juan Munoz Pallares for the Assignment and Declaration and Power of Attorney documents submitted herewith are described. The original document is in Spanish, and a certified English translation thereof is provided.

Submitted herewith as Exhibit B is a copy of a contract between the Assignee and inventor Juan Munoz Pallares. The original document is in Spanish, and a certified English translation thereof is provided. The contract indicates that inventor Juan Munoz Pallares is an employee of Assignee and is under an obligation to assign rights to inventions made during the scope of his employment to Assignee.

Submitted herewith as Exhibit C is a copy of a letter dated January 15, 2002 from Assignee to inventor Juan Munoz Pallares informing the inventor of Assignee's intent to file international patent applications corresponding to the originally filed priority application and seeking his signature for an international petition form, the original in Spanish together with a certified English translation thereof.

Submitted herewith as Exhibit D is a copy of a letter from Assignee to inventor Juan Munoz Pallares dated November 11, 2003 indicating there has been no response to the request for signature nor response to telephone calls made to the last known telephone number. Again, the original documents are in Spanish with certified English translations thereof.

Submitted herewith as Exhibit E is a copy of a letter sent from Assignee to inventor Juan Munoz Pallares on September 29, 2003 addressed to his last known address at Paseo Juan de la Cierva, 6 asking for his signature on the Assignment and combined Declaration and Power of Attorney documents. Further, a signed acknowledgement of receipt of the same is submitted herewith. Again, the original documents are in Spanish with certified English translations thereof.

Submitted herewith as Exhibit F is a copy of a letter sent from Assignee to inventor Juan Munoz Pallares on September 29, 2004 addressed to a known address at C/San Vicente Martir, No. 276 asking for his signature on the Assignment and combined Declaration and Power of Attorney documents. This address was known as it was provided on the first page of the contract between Assignee and inventor Juan Munoz Pallares, submitted herewith as Exhibit B. Further, a signed acknowledgement of receipt of the same is submitted herewith. Again, the original documents are in Spanish with certified English translations thereof.

Submitted herewith as Exhibit G is a copy of a letter sent from Assignee to inventor Juan Munoz Pallares on September 29, 2004 addressed to a known address at C/Francisco Cubells, No. 14 asking for his signature on the Assignment and combined Declaration and Power of Attorney documents. This address was known as it was provided to Assignee by telephone call to inventor Juan Munoz Pallares. Further, a signed acknowledgement of receipt of the same is submitted herewith. Again, the original documents are in Spanish with certified English translations thereof.

The last known address of the non-signing inventor, Juan Munoz Pallares, is
C/Francisco Cubells, No. 14, bajo
46011 Valencia
SPAIN

Additional recent addresses of the non-signing inventor, Juan Munoz Pallares,
include

C/San Vicente Martir, No. 276
46002 Valencia
SPAIN

and

Paseo Juan de la Cierva, 6
46900, Torrente
Valencia
SPAIN

In view of the foregoing demonstrating the inventor's recalcitrance, and joint
inventor's diligent efforts at securing appropriate signature, Applicants request
acceptance of the combined Declaration and Power of Attorney and Assignment
documents as responsive to the Notice of Missing Parts mailed on August 23, 2005.

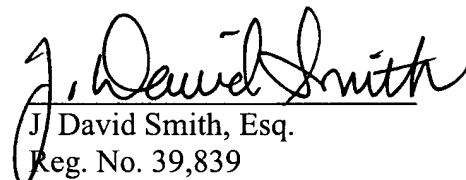
FEES

Applicants submit herewith the petition fee pursuant to 37 C.F.R. §1.17(h) together with the Petition for Extension of Time fee of Three Months. No additional fees are believed necessary in connection with the present submission; however, should this be in error, authorization is hereby given to charge Deposit Account No. 11-1153 for any underpayment or to credit any overage.

CONCLUSION

Acceptance of the petition is hereby requested, and grant of the petitioned relief is hereby sought.

Respectfully submitted,


J. David Smith, Esq.
Reg. No. 39,839
Attorney For Applicants

KLAUBER & JACKSON
411 Hackensack Avenue
Hackensack, New Jersey 07601
(201) 487-5800

 **COPY**RECORDATION FORM COVER SHEET
PATENTS ONLY

Attorney Docket No. 2429-1-024

To the Assistant Commissioner for Patents

1. Name of conveying party(ies):

Eduardo PRIMO YUFERA; Mohamed IBRAHIM
FAHMY; Pilar MOYA SANZAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name

Other: _____

Execution Date: Sept. 26; Oct. 27; Sept. 26, 2003

2. Name and address of receiving party(ies):

Name: Consejo Superior De Investigaciones CientificasAddress: Serrano, 117/ E-28006 MADRIDSpainAdditional name(s) & address(es) attached? ☒ Yes ☐ No
SEE ATTACHED SHEET

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/630,367

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: DAVID A. JACKSONAddress: KLAUBER & JACKSON

411 Hackensack Avenue, 4th Floor

Hackensack, New Jersey 07601

6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$40.00☒ Enclosed☐ Authorized to be charged to deposit account, if necessary -
for overages or underpayments only

8. Deposit account number:

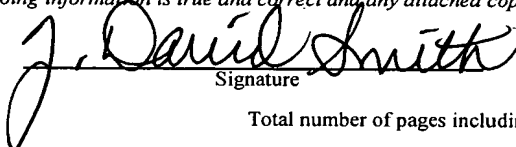
11-1153

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

J. David Smith, Reg. No. 39,839
Name of Person Signing
Signature1/23/06
DateTotal number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450 **COPY**

PAGE 2 OF 2

ADDITIONAL ASSIGNEE

**Universidad Politécnica De Valencia
Camino de Vera, s/n
E-46022 VALENCIA
Spain**

ASSIGNMENT

WHEREAS, WE, Eduardo PRIMO YUFERA, a citizen of SPAIN, residing at

Instituto de Tecnología Química
Consejo Superior de Investigaciones Científicas
Universidad Politécnica de Valencia
Los Naranjos, s/n
E-46022 VALENCIA
Spain

COPY

And Mohamed IBRAHIM FAHMY, a citizen of SPAIN, residing at

Instituto de Tecnología Química
Consejo Superior de Investigaciones Científicas
Universidad Politécnica de Valencia
Los Naranjos, s/n
E-46022 VALENCIA
Spain

And Juan MUÑOZ PALLARES a citizen of SPAIN, residing at

Instituto de Tecnología Química
Consejo Superior de Investigaciones Científicas
Universidad Politécnica de Valencia
Los Naranjos, s/n
E-46022 VALENCIA
Spain

And Pilar MOYA SANZ a citizen of SPAIN, residing at

Instituto de Tecnología Química
Consejo Superior de Investigaciones Científicas
Universidad Politécnica de Valencia
Los Naranjos, s/n
E-46022 VALENCIA
Spain

ASSIGNORS, have invented new and useful improvements in

**ESTOMOPATHOGENIC MICROORGANISM SPORES CARRIER AND
METHOD FOR CONTROLLING HARMFUL INSECTS**

for which we have filed an Application for Letters Patent in the United States on
July 30, 2003 ; and

WHEREAS,

- 1.- CONSEJO SUPERIOR DE INVESTIGACIONES CIENTÍFICAS
- 2.- UNIVERSIDAD POLITÉCNICA DE VALENCIA

located at

- 1.- Serrano, 117 / E-28006 MADRID / Spain
- 2.- Camino de Vera, s/n / E-46022 VALENCIA / Spain

ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application;

NOW, THEREFORE, for other good and valuable consideration, the receipt of which is hereby acknowledged, we the said

Eduardo PRIMO YUFERA; Mohamed IBRAHIM FAHMY;
Juan MUÑOZ PALLARES and Pilar MOYA SANZ

have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, to the said

CONSEJO SUPERIOR DE INVESTIGACIONES CIENTÍFICAS
UNIVERSIDAD POLITÉCNICA DE VALENCIA

its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, including the right to claim priority under the terms of any appropriate International Convention based upon said application for Letters Patent of the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patent and Trademarks of the United States and any official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said.

CONSEJO SUPERIOR DE INVESTIGACIONES CIENTÍFICAS
UNIVERSIDAD POLITÉCNICA DE VALENCIA

its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the interest herein assigned in the manner hereinabove set forth, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said

CONSEJO SUPERIOR DE INVESTIGACIONES CIENTÍFICAS
UNIVERSIDAD POLITÉCNICA DE VALENCIA

its successors, legal representatives and assigns, any fact known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisions, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said

CONSEJO SUPERIOR DE INVESTIGACIONES CIENTÍFICAS
UNIVERSIDAD POLITÉCNICA DE VALENCIA

its successors, legal representatives and assigns, to obtain and enforce proper Patent Protection for said improvements in the United States.

IN TESTIMONY WHEREOF, we hereunto set our hand seal the day and year set opposite our signatures.

Date: 26-09-03

Eduardo Primo Yufera, L.S.
Eduardo PRIMO YUFERA

Date: 27-10-03

Mohamed Ibrahim Fahmy, L.S.
Mohamed IBRAHIM FAHMY

Date: _____

L.S.
Juan MUÑOZ PALLARES

Date: 26-09-03

Pilar Moya Sanz, L.S.
Pilar MOYA SANZ

Gestiones realizadas para la comunicación con D. Juan Muñoz, en relación a la firma de documentos de designación de inventor y "power of attorney" de la solicitud de patente PCT/ES2003/00043 para su presentación en la Oficina de Patentes de Estados Unidos.

A. Documentación disponible:

A.1. Contrato de cesión de derechos firmada por el director del ITQ (D. Avelino Corma Canós) y por el inventor D. Juan Muñoz en al fecha de comienzo de la realización de sus trabajos en el Instituto de Tecnología Química (10.05.1995). En este contrato la dirección que figura de D. Juan Muñoz es: C/ Vicente Mártir nº 276, Valencia. Doc. Nº 1.

A.2. D. Juan Muñoz, también firmó las hojas de **petitorio del PCT** que se presentó dentro del plazo de prioridad ante la Oficina Española de Patentes y Marcas. Para la firma de este documento se envió, por mensajero, una carta a la dirección que había adquirido durante su estancia en el ITQ: Paseo Juan de la Cierva, 6, 46900, Torrente (Valencia), sin embargo, por teléfono nos indicó que temporalmente se encontraba en la dirección C/ Francisco Cubells, nº 14. bajo, 46011 Valencia, por lo que se le envió la documentación a esta dirección Doc. Nº 2.

B. Gestiones realizadas para la obtención de la firma de D. Juan Muñoz de documentos de designación de inventor y "power of attorney" de la solicitud de patente PCT/ES2003/00043 para su presentación en la Oficina de Patentes de Estados Unidos.

B. 1. D. Juan Muñoz finaliza sus trabajos de investigación en el ITQ. Para la firma de este documento se envían en primer lugar por mensajero al domicilio que constaba en el ITQ (Paseo Juan de la Cierva, 6, 46900 Valencia) sin que se encuentre al interesado en esta dirección (doc. 3). Se intenta contactar con él en el teléfono que nos había dejado (96 157 35 99), pero nadie responde a nuestra llamada.

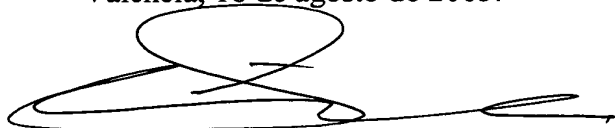
B. 2. Por medio de conocidos suyos, nos facilitan un nuevo número de teléfono móvil (666 488 289). Esta vez si que logramos contactar con él y nos indica que su nueva dirección es C/ Francisco Cubells nº 14, 46011 Valencia. Le enviamos de nuevo la documentación a esta dirección por mensajero (doc. 4). La carta se devuelve con un escrito de su puño y letra en el que manifiesta su intención de no firmar el documento. E

B. 3. En cualquier caso, se le vuelve a enviar por correo certificado la documentación a las tres direcciones que tenemos (docs. 5, 6, y 7) (la de la calle Juan Vicente Mártir (doc. 1), la de Torrente y la de la calle Francisco Cubells), únicamente aparece como recibida la carta dirigida a ésta última dirección. Hasta el momento no hemos obtenido respuesta por su parte.

Nota: en correo anterior con la firma Ungria, os envié el documento original que se menciona en el punto B.2 y también los originales con acuse de recibos, indicados en el punto B.3.

Todos los documentos que ajunto a este escrito son copia de las cartas con registro de salida gestionado por el CTT y dirigido a D. Juan Muñoz Pallarés.

Valencia, 18 de agosto de 2005.

A handwritten signature in black ink, consisting of a large, stylized loop at the top, followed by a series of smaller loops and a long, horizontal stroke extending to the right.

Sila Durán
Técnico CTT



Steps conducted for communication with Mr Juan Muñoz, in relation to signing the documents for designation of inventor and power of attorney of the patent application PCT/ES2003/00043 for their presentation in the United States Patent Office.

A. Documentation available:

A.1. Contract of assignment of rights signed by the Director of the ITQ (Mr Avelino Corma Canós) and by the inventor Mr Juan Muñoz on the date of starting to carry out his work in the Instituto de Tecnología Química (10.05.1995). Mr Juan Muñoz's address appearing in this contract is: C/ Vicente Mártir, 276, Valencia. Doc. No. 1.

A.2. Mr Juan Muñoz also signed the sheets of **petitioner of the PCT** which was presented to the Spanish Patent and Trademark Office within the priority period. In order to sign this document a letter was sent by messenger to the address which he had acquired during his stay in the ITQ: Paseo Juan de la Cierva, 6, 46900 Torrente (Valencia), nevertheless, he informed us by telephone that he was temporarily staying at the address C/ Francisco Cubells, 14, bajo, 46011 Valencia, and so the documentation was sent to that address Doc. No. 2.


B. Steps conducted for obtaining the signature of Mr Juan Muñoz on documents for designation of inventor and power of attorney of the patent application PCT/ES2003/00043 for their presentation in the United States Patent Office.

B. 1. Mr Juan Muñoz completed his research work in the ITQ. In order to sign this document it was first of all sent by messenger to the address held by the ITQ (Paseo Juan de la Cierva, 6, 46900 Torrente, Valencia), and he was found not to be living there (doc. 3). An attempt was made to get in touch with him by means of the telephone number he had left us (96 157 35 99) but no one answered our calls.

B.2. Through acquaintances of his, we were provided with a new mobile telephone number (666 488 289). This time we managed to get in touch with him and he told us that his new address was C/ Francisco Cubells, 14, bajo, 46011 Valencia. We again sent him the documentation to that address by messenger (doc. 4). The letter was returned to us with a note written in his own hand stating that he had no intention of signing the document. E.

B.3. In any case, the documentation was again sent by certified mail to the three addresses we have (docs. 5, 6 and 7) (that of Calle Juan Vicente Mártir (doc. 1), of Torrente and of Calle Francisco Cubells), with only the letter sent to the last of them appearing as having been received. We have not so far received any reply on his part.

Note: in an earlier mail sent to the firm Ungria, I sent you the original document which is mentioned in point B.2 and also the originals with acknowledgement of receipt, stated in point B.3.



All the documents enclosed with this letter are copies of the letters with exit register managed by the CTT and addressed to Mr Juan Muñoz Pallarés.

Valencia, 18 August 2005

Sila Durán
Technical staff, CTT



CERTIFICACION

Don José Joaquín Latas Díez,
interprete jurado de lengua inglesa,
certifica que la que antecede es traducción
fiel y completa a lengua inglesa
de un documento redactado en castellano.

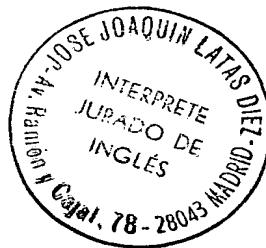
En Madrid, a 18 de enero de 2006

CERTIFICATION

Mr. José Joaquín Latas Díez, sworn translator
of the English language certifies, that the
preceding translation is a true and complete
version into English of a document written in
Spanish.

Madrid, on the 18th January 2006

José Joaquín Latas Díez



DOC. 1.

Valencia, 10 de Abril de 1995.

De una parte la Universidad Politécnica de Valencia, y el Consejo Superior de Investigaciones Científicas (en adelante UPV-CSIC) representada a los solos efectos de este acuerdo por D. Avelino CORMA CANOS en calidad de Investigador de UPV-CSIC, y

De otra parte D/D^a Juan MUÑOZ PALLARES, con D.N.I., domiciliado en Valencia, calle de San Vicente Martir, nº 276.

EXPONEN

Que D/D^a Juan MUÑOZ PALLARES, va a realizar trabajos de investigación en el Instituto de Tecnología Química, de la U.P.V.-C.S.I.C., (en adelante ITQ), en calidad de Becario y por un período a convenir de común acuerdo.

Que en el curso de la investigación, D/D^a Juan MUÑOZ PALLARES tendrá acceso a información de la UPV-CSIC que puede tener carácter confidencial y/o estar sujeta a derechos de propiedad.

Y en consecuencia acuerdan las siguientes

CLAUSULAS

PRIMERA.- FINALIDAD

El objeto de este acuerdo es fijar los términos y condiciones bajo las cuales D/D^a Juan MUÑOZ PALLARES mantendrá confidencialidad de la información suministrada por la UPV-CSIC u obtenida en el transcurso de su trabajo de investigación en la UPV-CSIC (en adelante *Información*).

SEGUNDA.- CONFIDENCIALIDAD

D/D^a Juan MUÑOZ PALLARES y el ITQ acuerdan que la Información será mantenida confidencial según las siguientes condiciones.

- 2.1. D/D^a Juan MUÑOZ PALLARES mantendrá la confidencialidad de la Información, y evitará revelarla a toda persona que no sea empleado o subcontratante autorizado.

2.2. El párrafo anterior no afectara cuando:

- a) Haya evidencia de que D/D^a Juan MUÑOZ PALLARES, conocía la Información previamente a su relación con la UPV-CSIC.
- b) La Información sea de dominio público.
- c) La Información proceda de un tercero que no exige secreto.

TERCERA.- DURACION

- 3.1. Este acuerdo regirá durante el período en que D/D^a Juan MUÑOZ PALLARES mantendrá con la UPV-CSIC la relación que lo originó o cualquier otra relación contractual temporal, de adscripción o de colaboración. Una vez finalizado cualquier tipo de relación la confidencialidad referida se mantendrá durante tres años más.
- 3.2. La UPV-CSIC podrá requerir de D/D^a Juan MUÑOZ PALLARES la entrega inmediata de la Información comprometiéndose éste/a a la destrucción de cualquier copia tangible de la misma.

CUARTA.- DERECHOS DE PROPIEDAD

Salvaguardando los derechos exigibles por terceros, la UPV-CSIC es propietaria exclusiva de la Información y de los derechos de Propiedad Industrial que se derivan de ella.

D/D^a Juan MUÑOZ PALLARES no podrá utilizar la Información ni explotar los resultados de su trabajo de investigación en la UPV-CSIC en su propio beneficio.

D/D^a Juan MUÑOZ PALLARES tendrá derecho:

- 1.- En todo caso a aparecer como inventor/a en los títulos de Propiedad Industrial que se deriven de su trabajo de investigación en la UPV-CSIC.
- 2.- A los beneficios y/o privilegios que la UPV-CSIC establezca estatutariamente.

QUINTA.- MODIFICACION O CANCELACION

Este acuerdo solo podrá ser modificado con el consentimiento expreso de ambas partes en documento escrito y mencionando la voluntad de las partes de modificar el presente acuerdo.

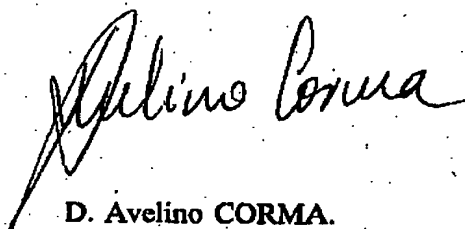
SEXTA.- JURISDICCION

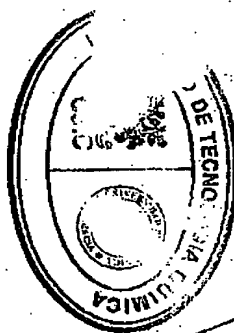
D/D^a Juan MUÑOZ PALLARES y la UPV-CSIC se comprometen a resolver de manera amistosa cualquier desacuerdo que pueda surgir en el desarrollo del presente contrato.

En caso de conflicto ambas partes acuerdan el sometimiento a los Tribunales de Valencia, con renuncia de su propio fuero.

Y en prueba de conformidad de cuanto antecede, firman el presente acuerdo por duplicado y a un solo efecto en el lugar y fecha arriba citados.

**POR LA UNIVERSIDAD
POLITECNICA DE VALENCIA**


D. Avelino CORMA.




D/D^a Juan MUÑOZ.



DOC. 1

Valencia, 10 April 1995.

The one party, the Universidad Politécnica de Valencia and the Consejo Superior de Investigaciones Científicas (the Polytechnic University of Valencia and Higher Council for Scientific Research, hereinafter, UPV-CSIC), represented for the sole purpose of this agreement by Mr Avelino CORMA CANOS in his capacity as researcher of UPV-CSIC, and

The other party, Mr/Ms Juan MUÑOZ PALLARES, with identity card, address in Valencia, Calle San Vicente Mártir, 276.

RECITALS

Whereas Mr/Ms Juan MUÑOZ PALLARES is going to undertake research work in the Instituto de Tecnología Química of the UPV-CSIC (hereinafter, the ITQ), in his capacity as Grant holder and for a period to be agreed by mutual consent.

Whereas during the course of the research Mr/Ms Juan MUÑOZ PALLARES shall have access to information of the UPV-CSIC which might have the nature of being confidential and/or be subject to property rights.

As a consequence they agree to the following

CLAUSES

ONE - PURPOSE

The aim of this contract is to set down the terms and conditions under which Mr/Ms Juan MUÑOZ PALLARES shall maintain confidentiality regarding the information supplied by the UPV-CSIC or obtained during the course of his research work in the UPV-CSIC (hereinafter, *Information*).

TWO - CONFIDENTIALITY

Mr/Ms Juan MUÑOZ PALLARES and the ITQ agree that the Information shall be kept confidential in accordance with the following conditions:

- 2.1. Mr/Ms Juan MUÑOZ PALLARES shall maintain confidentiality over the Information and shall prevent its being revealed to any person who is not an employee or authorised subcontractor.



2.2. The above paragraph shall not apply when:

- a) There is evidence that Mr/Ms Juan MUÑOZ PALLARES knew of the Information prior to his relationship with the UPV-CSIC.
- b) The Information belongs to the public domain.
- c) The Information comes from a third party not requiring any secrecy.

THREE - DURATION

- 3.1. This agreement shall govern during the period in which Mr/Ms Juan MUÑOZ PALLARES maintains his relationship with the UPV-CSIC giving rise to it or any other temporary relationship of appointment or collaboration. Once any kind of relation has ended, the confidentiality referred to shall be maintained for a further three years.
- 3.2. The UPC-CSIC shall be able to require Mr/Ms Juan MUÑOZ PALLARES to immediately hand over the Information, in which case the latter undertakes to destroy any tangible copy thereof.

FOUR – PROPERTY RIGHTS

Notwithstanding the rights that can be demanded by third parties, the UPV-CSIC is the sole owner of the Information and of the Industrial Property rights deriving therefrom.

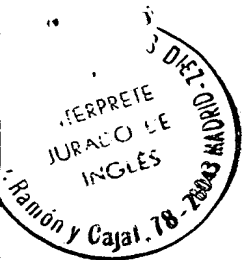
Mr/Ms Juan MUÑOZ PALLARES may not use the Information nor exploit the results of his research work in the UPV-CSIC for his own benefit.

Mr/Ms Juan MUÑOZ PALLARES shall be entitled:

- 1.- In all cases to appear as inventor in the Industrial Property titles deriving from his research work in the UPV-CSIC.
- 2.- To the benefits and/or privileges established by the UPV-CSIC on a statutory basis.

FIVE – MODIFICATION OR CANCELLATION

This agreement may only be modified with the express consent of both parties in a written document and mentioning the wishes of the parties to modify this agreement.



SIX - JURISDICTION

Mr/Ms Juan MUÑOZ PALLARES and the UPV-CSIC undertake to settle any disagreements which might arise from the performance of this contact in an amicable fashion.

In the event of conflict, both parties agree to submit themselves to the Courts of Valencia, waiving their own jurisdiction.

As proof of conformity with the foregoing, they sign this agreement in duplicate for a sole purpose, in the place and on the date stated above.

FOR THE UNIVERSIDAD
POLITECNICA DE VALENCIA

Mr Avelino CORMA

Mr/Ms Juan MUÑOZ



CERTIFICACION

Don José Joaquín Latas Díez,
interprete jurado de lengua inglesa,
certifica que la que antecede es traducción
fiel y completa a lengua inglesa
de una copia de un documento redactado en
castellano.

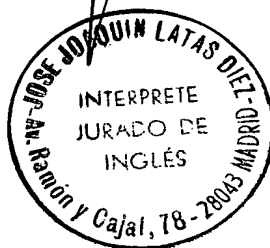
En Madrid, a 18 de enero de 2006

CERTIFICATION

Mr. José Joaquín Latas Díez, sworn translator
of the English language certifies, that the
preceding translation is a true and complete
version into English of a copy of a document
written in Spanish.

Madrid, on the 18th January 2006

José Joaquín Latas Díez





Juan Muñoz Pallarés
Paseo Juan de la Cierva, 6
46900, TORRENTE
VALENCIA

C/ FRANCISCO CLIBELLS n.º 14
BAJO

Valencia, 15 de enero de 2002.

Estimado señor:

Le escribo con relación a la solicitud de patente nacional con número de expediente **P200100266**, que lleva por título **"Vehículo portador de esporas de un microorganismo entomopatígeno y método para combatir insectos dañinos"**, en la que usted consta como uno de los inventores.

Le comunico que esta Universidad ha decidido proceder a la extensión internacional de la citada solicitud, corriendo de su cargo todos los gastos de la tramitación.

Por la presente carta, le informo de su derecho a constar como inventor en todos los documentos que hagan referencia a la invención como artículos científicos, patentes, etc. En este sentido, adjunto el formulario de petición de la extensión internacional de la solicitud, en el que debe constar su firma en el lugar indicado, junto con la de los demás inventores. Le ruego proceda a la devolución al CTT de esta documentación una vez firmada, a través de mensajero a gastos pagado por el CTT o por cualquier otro medio que considere oportuno, a la mayor brevedad. **Plazo máximo el 18.01.2002.**

Por nuestra parte, le seguiremos informando de todas las incidencias que se produjesen en la tramitación, así como de las modificaciones y versiones definitivas de la memoria de patente.

En caso de que renunciase a este derecho, la solicitud se tramitará igualmente, a fin de no perjudicar el derecho de los demás inventores, así como los de esta Universidad y del Consejo Superior de Investigaciones Científicas, como titulares legales de los derechos de explotación sobre la patente.

Le agradezco de antemano su colaboración.

Atentamente,

Sila Durán
Responsable de Patentes
CTT





DOC. 2

UNIVERSIDAD
POLITECNICA
DE VALENCIA

UNIVERSIDAD POLITECNICA DE VALENCIA
C.T.T. INTERNAL REGISTER
EXIT 00012
16/01/2002 11:23

CENTRO DE APOYO A
LA INNOVACIÓN, LA
INVESTIGACIÓN Y LA
TRANSFERENCIA DE
TECNOLOGÍA
Universidad Politécnica de
Valencia

CTT

Juan Muñoz Pallarés

Paseo Juan de la Cierva, 6
46900 TORRENTE
VALENCIA

(this address is crossed out and
there is written: C/ FRANCISCO CUBELLS, 14
BAJO)

Valencia, 15 January 2002

Dear sir,

I am writing to you in relation to the application for national patent with file number **P200100266** bearing the title "**Carrier vehicle for spores of an entomopathogenic microorganism and method for combating harmful insects**" in which you are noted as one of the inventors.

I am notifying you that this University has decided to proceed with the international extension of that application, with all the expenses of the processing being borne by it.

By virtue of this letter, I inform you of your right to be noted as inventor in all documents making reference to the invention such as scientific papers, patents, etc. In this regard, I am enclosing the petition form for the international extension of the application, which you have to sign in the stated place, together with the other inventors. I would be grateful if you could return this documentation to the CTT once you have signed it, doing so by means of messenger to be paid for by the CTT or by any other means that you consider appropriate, at your earliest possible convenience. **Deadline 18.01.2002.**

For our part, we shall continue to inform you of any occurrences taking place during the processing and any modifications and final versions of the patent specification.

In the event that you wish to waive this right, the application shall in any case be processed in the same manner in order not to harm the rights of the other inventors, as well as those of this University and the Consejo Superior de Investigaciones Científicas, as the legal holders of the exploitation rights over the patent.

Thanking you in advance for your collaboration.

Yours faithfully,

Sila Durán
Patents Manager
CTT



CERTIFICACION

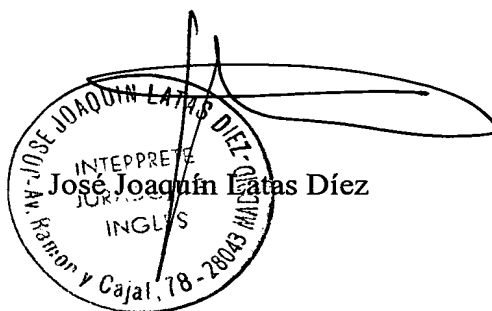
Don José Joaquín Latas Díez,
interprete jurado de lengua inglesa,
certifica que la que antecede es traducción
fiel y completa a lengua inglesa
de una copia de un documento redactado en
castellano.

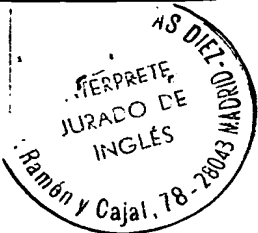
En Madrid, a 18 de enero de 2006

CERTIFICATION

Mr. José Joaquín Latas Díez, sworn translator
of the English language certifies, that the
preceding translation is a true and complete
version into English of a copy of a document
written in Spanish.

Madrid, on the 18th January 2006





DOC. 3

CENTRO DE APOYO A
LA INNOVACIÓN, LA
INVESTIGACIÓN Y LA
TRANSFERENCIA DE
TECNOLOGÍA
Universidad Politécnica de
Valencia

CTT

UNIVERSIDAD POLITÉCNICA DE VALENCIA
C.T.T. INTERNAL REGISTER
EXIT 003028
13/12/2003 14:14

Juan Muñoz Pallarés
Paseo Juan de la Cierva, 6
46900 TORRENTE
VALENCIA

Valencia, 11 November 2003

Dear Juan,

I am writing to you in relation to the application for national patent with file number **P200100266** bearing the title "**Carrier vehicle for spores of an entomopathogenic microorganism and method for combating harmful insects**" in which you are noted as one of the inventors.

I have tried without success to get in touch with you on various occasions via the telephone number 96 157 35 99. It might be that you now have a different number.

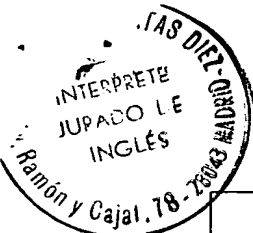
Please get in touch with us as early as possible at the number noted at the foot of the page.

Thank you for everything and our apologies for this nuisance.

Best regards,

Sila Durán
Patents Manager
CTT

BEST AVAILABLE COPY



CERTIFICACION

Don José Joaquín Latas Díez,
interprete jurado de lengua inglesa,
certifica que la que antecede es traducción
fiel y completa a lengua inglesa
de una copia de un documento redactado en
castellano.

En Madrid, a 18 de enero de 2006

CERTIFICATION

Mr. José Joaquín Latas Díez, sworn translator
of the English language certifies, that the
preceding translation is a true and complete
version into English of a copy of a document
written in Spanish.

Madrid, on the 18th January 2006

Jose Joaquín Latas Díez

BEST AVAILABLE COPY

100

UNIVERSIDAD POLITÉCNICA DE VALENCIA
REGISTRO INTERNO C.T.T.
SALIDA 003028
13/11/2003 14:14
Destino: JUAN MUÑOZ PALLARÉS

CENTRO DE APOYO
A LA INNOVACIÓN,
LA INVESTIGACIÓN
Y LA TRANSFERENCIA
DE TECNOLOGÍA
Universidad Politécnica de Valencia



BEST AVAILABLE COPY

Juan Muñoz Pallarés
Paseo Juan de la Cierva, 6
46900, TORRENTE
VALENCIA

Valencia, 11 de noviembre de 2003.

Estimado Juan:

Te escribo con relación a la solicitud de patente nacional con número de expediente **P200100266**, que lleva por título **"Vehículo portador de esporas de un microorganismo entomopatígeno y método para combatir insectos dañinos"**, en la que usted consta como uno de los inventores.

He intentado sin éxito contactar contigo en varias ocasiones en el número de teléfono 96 157 35 99. Aunque puede ser ahora tengas otro número distinto.

Por favor, ponte en contacto con nosotros lo antes posible en el número que consta a pie de página.

Gracias por todo y perdona las molestias.

Un cordial saludo

Silvia Durán
Responsable de Patentes
CTT





UNIVERSIDAD POLITÉCNICA DE VALENCIA
REGISTRO INTERNO C.T.T.
SALIDA 003159
26/11/2003 18:01

CENTRO DE AP
A LA INNOVAC
LA INVESTIGA
Y LA TRANSFER
DE TECNOLOG

Universidad Politécnica de Valencia

1 DOC. 4
HORARIO
DE
OFICINA
MENSAJERO
URGENTE

Juan Muñoz Pallarés
Paseo Juan de la Cierva, 6
46900, TORRENTE
VALENCIA

CI FRANCISCO C. CABELLS,
bajo
46011 VALENCIA

Valencia, 29 de septiembre de 2003..

Estimado señor:

Le escribo con relación a la solicitud de patente nacional con número de expediente P200100266, que lleva por título "Vehículo portador de esporas de un microorganismo entomopatígeno y método para combatir insectos dañinos", en la que usted consta como uno de los inventores.

Le comunico que esta Universidad ha decidido continuar los trámites de la citada solicitud en distintos países, entre ellos Estados Unidos, corriendo de su cargo todos los gastos de la tramitación.

Por la presente carta, le informo de su derecho a constar como inventor en todos los documentos que hagan referencia a la invención como artículos científicos, patentes, etc. En este sentido, adjunto el formulario de petición en Estados Unidos, en el que debe constar su firma en el lugar indicado, junto con la de los demás inventores. Por favor, **la firma debe realizarse con tinta azul, sin rúbrica y con el nombre completo en minúscula (excepto la primera letra). La fecha se indicará con dos cifras para el día, mes y año. Ver instrucciones.**

Le ruego proceda a la devolución al CTT de esta documentación una vez firmada, a través de mensajero a gastos pagado por el CTT o por cualquier otro medio que considere oportuno, a la mayor brevedad. **Plazo máximo 07.10.2003.**

Por nuestra parte, le seguiremos informando de todas las incidencias que se produjesen en la tramitación, así como de las modificaciones y versiones definitivas de la memoria de patente.

En caso de que renunciase a este derecho, la solicitud se tramitará igualmente, a fin de no perjudicar el derecho de los demás inventores, así como los de esta Universidad Politécnica de Valencia. Centro de Apoyo a la Innovación, la Investigación y la Transferencia de Tecnología.- CTT. Edif. 11 y 12. Camino de Vera s/n. 46022. VALENCIA. Tel: 96 387 74 09. Fax 96 387 79 49.



DOC. 4

CENTRO DE APOYO A
LA INNOVACIÓN, LA
INVESTIGACIÓN Y LA
TRANSFERENCIA DE
TECNOLOGÍA
Universidad Politécnica de
Valencia

(handwritten note
superimposed on
the text stating:
OFFICE HOURS
MESSENGER
URGENT

UNIVERSIDAD POLITÉCNICA DE VALENCIA
C.T.T. INTERNAL REGISTER
EXIT 003159
25/11/2003 15:01

Juan Muñoz Pallarés

Paseo Juan de la Cierva, 6
46900 TORRENTE
VALENCIA

(this address is crossed out and
there is written: C/ FRANCISCO CUBELLS, 14
BAJO
46011 VALENCIA)

Valencia, 29 September 2003

Dear sir,

I am writing to you in relation to the application for national patent with file number **P200100266** bearing the title "**Carrier vehicle for spores of an entomopathogenic microorganism and method for combating harmful insects**" in which you are noted as one of the inventors.

I am notifying you that this University has decided to go ahead with the said application in different countries, among them the United States, with all the expenses of the processing being borne by it.

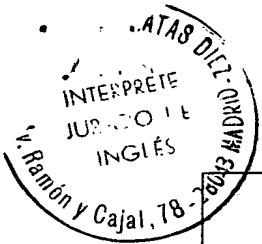
By virtue of this letter, I inform you of your right to be noted as inventor in all documents making reference to the invention such as scientific papers, patents, etc. In this regard, I am enclosing the petition form for the United States which you have to sign in the stated place, together with the other inventors. Please note: **the signature must be made in blue ink, in full and not initialled, and with your complete name in lower case (except for the initial letters). The date will be stated with two figures for the day, month and year. See instructions.**

I would be grateful if you could return this documentation to the CTT once you have signed it, doing so by means of messenger to be paid for by the CTT or by any other means that you consider appropriate, at your earliest possible convenience. **Deadline 07.10.2003.**

For our part, we shall continue to inform you of any occurrences taking place during the processing and any modifications and final versions of the patent specification.

In the event that you wish to waive this right, the application shall in any case be processed in the same manner in order not to harm the rights of the other inventors, as well as those of this

Universidad Politécnica de Valencia. Centro de Apoyo a la Innovación, la Investigación y la Transferencia de Tecnología.- CTT Edif. 11 y 12. Camino de Vera s/n. 46022 VALENCIA · Tel: 96 387 74 09 · Fax: 34 96 387 79



CERTIFICACION

Don José Joaquín Latas Díez,
interprete jurado de lengua inglesa,
certifica que la que antecede es traducción
fiel y completa a lengua inglesa
de una copia de un documento redactado en
castellano.

En Madrid, a 18 de enero de 2006

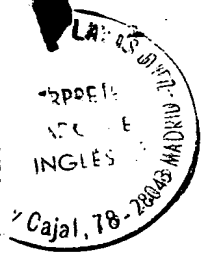
CERTIFICATION

Mr. José Joaquín Latas Díez, sworn translator
of the English language certifies, that the
preceding translation is a true and complete
version into English of a copy of a document
written in Spanish.

Madrid, on the 18th January 2006

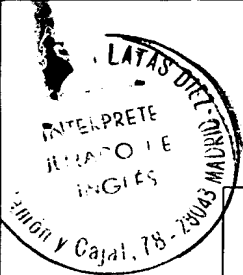
José Joaquín Latas Díez





<div>Spanish Mail Service</div> <div>Form 35 ACKNOWLEDGEMENT OF RECEIPT</div> <div>FRANKED</div>	
<div>Office from where sent: (note details)</div> <div>CD 0016531868</div> <div>Mail No. deposited on</div> <div>Class of certified mail: (1)</div> <div>Declared value Euros (2)</div> <div>Postal Order in Euros (2)</div> <div>(3)</div> <div>Addressee: Juan Muñoz Pallares</div> <div>Address: Paseo Juan de la Cierva</div> <div>..... No. 6 Floor</div> <div>Post Code 46900 Town or city: (Valencia)</div> <div>..... TORRENTE</div> <div>(1) Letter, form etc. (2) Cross out as appropriate (3) Note down as wished</div>	<div>This part for the SENDER of the mail</div> <div>Return to:</div> <div>Mr/Ms CTT - UPV</div> <div>..... Sila Durán</div> <div>Address:</div> <div>..... No. 6 Floor</div> <div>Post Code</div> <div>Town or city:</div> <div>.....</div> <div>Province:</div>

stamped: EXPIRED



CERTIFICACION



Don José Joaquín Latas Díez,
interprete jurado de lengua inglesa,
certifica que la que antecede es traducción
fiel y completa a lengua inglesa
de un documento redactado en castellano.

En Madrid, a 18 de enero de 2006

CERTIFICATION

Mr. José Joaquín Latas Díez, sworn translator
of the English language certifies, that the
preceding translation is a true and complete
version into English of a document written in
Spanish.

Madrid, on the 18th January 2006


José Joaquín Latas Díez




UNIVERSIDAD POLITÉCNICA DE VALENCIA
REGISTRO INTERNO C.T.T.
SALIDA 002034
05/10/2004 14:01

DOC. 5



UNIVERSIDAD
POLITECNICA
DE VALENCIA

CENTRO DE APOYO
A LA INNOVACIÓN,
LA INVESTIGACIÓN
Y LA TRANSFERENCIA
DE TECNOLOGÍA



Juan Muñoz Pallarés
C/ San Vicente Mártir, nº 276
46002 Valencia

Valencia, 29 de septiembre 2004.

Estimado señor:

Le escribo con relación a la solicitud de patente nacional con número de expediente **P200100266**, que lleva por título **"Vehículo portador de esporas de un microorganismo entomopatógeno y método para combatir insectos dañinos"**, en la que usted consta como uno de los inventores.

Le comunico que esta Universidad ha decidido proceder a la extensión internacional de la citada solicitud, corriendo de su cargo todos los gastos de la tramitación.

Por la presente carta, le informo de su derecho a constar como inventor en todos los documentos que hagan referencia a la invención como artículos científicos, patentes, etc. En este sentido, adjunto un documento para la presentación y tramitación en Estados Unidos de la correspondiente solicitud de patente en nombre de la Universidad Politécnica de Valencia y Consejo Superior de Investigaciones Científicas. Le ruego proceda a la devolución al CTT de esta documentación una vez firmada, a través de mensajero a gastos pagado por el CTT o por cualquier otro medio que considere oportuno, a la mayor brevedad.

Por nuestra parte, le seguiremos informando de todas las incidencias que se produjesen en la tramitación, así como de las modificaciones y versiones definitivas de la memoria de patente.

En caso de que renunciase a este derecho, la solicitud se tramitará igualmente, a fin de no perjudicar el derecho de los demás inventores, así como los de esta Universidad y del Consejo Superior de Investigaciones Científicas, como titulares legales de los derechos de explotación sobre la patente.

Le agradezco de antemano su colaboración.

Atentamente,

Sila Durán
Responsable de Patentes
CTT

Universidad Politécnica de Valencia. Centro de Apoyo a la Innovación, la Investigación y la Transferencia de Tecnología.- CTT. Edif. II y I2. Camino de Vera s/n. 46022. VALENCIA. Tel: 96 387 74 09. Fax 96 387 79 49.



DOC. 5

UNIVERSIDAD POLITECNICA DE VALENCIA
C.T.T. INTERNAL REGISTER
EXIT 002034
05/10/2004 14:01

UNIVERSIDAD
POLITECNICA
DE VALENCIA

Juan Muñoz Pallarés
C/ San Vicente Mártir, 276
46002 Valencia

CENTRO DE APOYO A
LA INNOVACIÓN, LA
INVESTIGACIÓN Y LA
TRANSFERENCIA DE
TECNOLOGÍA
Universidad Politécnica de
Valencia

CTT

Valencia, 29 September 2004

Dear sir,

I am writing to you in relation to the application for national patent with file number **P200100266** bearing the title **"Carrier vehicle for spores of an entomopathogenic microorganism and method for combating harmful insects"** in which you are noted as one of the inventors.

I am notifying you that this University has decided to proceed with the international extension of that application, with all the expenses of the processing being borne by it.

By virtue of this letter, I inform you of your right to be noted as inventor in all documents making reference to the invention such as scientific papers, patents, etc. In this regard, I am enclosing a document for presentation and processing in the United States for the corresponding patent application in the name of the Universidad Politecnica de Valencia and Consejo Superior de Investigaciones Cientificas. I would be grateful if you could return this documentation to the CTT once you have signed it, doing so by means of messenger to be paid for by the CTT or by any other means that you consider appropriate, at your earliest possible convenience.

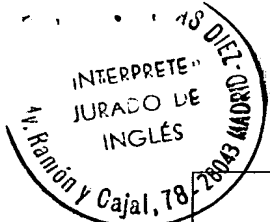
For our part, we shall continue to inform you of any occurrences taking place during the processing and any modifications and final versions of the patent specification.

In the event that you wish to waive this right, the application shall in any case be processed in the same manner in order not to harm the rights of the other inventors, as well as those of this University and the Consejo Superior de Investigaciones Cientificas, as the legal holders of the exploitation rights over the patent.

Thanking you in advance for your collaboration.

Yours faithfully,

Sila Durán
Patents Manager
CTT



CERTIFICACION

Don José Joaquín Latas Díez,
interprete jurado de lengua inglesa,
certifica que la que antecede es traducción
fiel y completa a lengua inglesa
de un documento redactado en castellano.

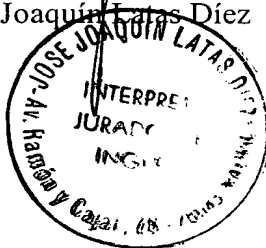
En Madrid, a 18 de enero de 2006

CERTIFICATION

Mr. José Joaquín Latas Díez, sworn translator
of the English language certifies, that the
preceding translation is a true and complete
version into English of a document written in
Spanish.

Madrid, on the 18th January 2006

José Joaquín Latas Díez



INSTRUCCIONES PARA CUMPLIMENTAR DOCUMENTOS DE PODER

(="POWERS OF ATTORNEY")

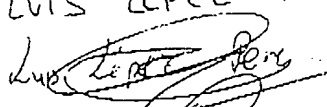

PARA SOLICITUDES DE PATENTE EN ESTADOS UNIDOS.-

N/REF: 120030174/MAD

Deberá ser **firmado** por el/los **inventor/es** en los espacios señalados con una cruz a lápiz, con nombre y dos apellidos, letra clara, legible (no de imprenta) y sin rúbrica.

Asimismo deberá ser **fechado** por el/los **inventor/es** en los lugares señalados con la palabra inglesa "date", de su puño y letra y con números.

Ejemplos relativos a la firma:

1. Luis López Pérez
2. LUIS LOPEZ PEREZ
3. 
4. 

CORRECTO

INCORRECTO

INCORRECTO

INCORRECTO

Ejemplos relativos a la forma de fechar el documento:

1. 17-09-2004
2. 17 Septiembre 2004
3. 17-IX-2004

CORRECTO

INCORRECTO

INCORRECTO



CTT

05 OCT. 2004

Attorney Docket No.: 2429-1-024

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below under my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**ENTOMOPATHOGENIC MICROORGANISM SPORES CARRIER AND METHOD
FOR CONTROLLING HARMFUL INSECTS**

the Specification of which

☒ is attached hereto
☐ was filed on

I hereby state that I have reviewed and understand the contents of the above-identified Specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 (a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

<u>APPLICATION</u> <u>NUMBER</u>	<u>PRIOR FOREIGN FILED APPLICATION(S)</u> <u>COUNTRY</u> <u>(MONTH/DAY/YYYY)</u>	<u>PRIORITY</u> <u>CLAIMED</u>
200100266	SPAIN January 30, 2001	YES

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

APPLICATION NUMBER(S)
NONE

FILING DATE (MM/DD/YYYY)

Attorney Docket No. 2429-1-024

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent
Application No.

PCT Parent
Number
PCT/ES02/00043

Parent Filing
(MM/DD/YYYY)
January 30, 2002

Parent Patent
Number (if applicable)

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from **UNGRIA PATENTES Y MARCAS, S.A.** as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

I hereby appoint as my attorneys or agents the registered persons identified under

Customer No. 23565

for the law firm of Klauber & Jackson, said attorneys or agents with full power of substitution and revocation to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Please address all correspondence regarding this application to **Customer No. 23565.**

DAVID A. JACKSON, ESQ.
KLAUBER & JACKSON
411 HACKENSACK AVENUE
HACKENSACK, NEW JERSEY 07601

Direct all telephone calls to David A. Jackson at (201) 487-5800.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the

Attorney Docket No.: 2429-1-024

United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF FIRST JOINT INVENTOR: Eduardo PRIMO YUFERA

COUNTRY OF CITIZENSHIP: Spain

FULL RESIDENCE ADDRESS: Instituto de Tecnología Química
Consejo Superior de Investigaciones Científicas
Universidad Politécnica de Valencia
Los Naranjos, s/n
E-46022 Valencia
Spain

FULL POST OFFICE ADDRESS: SAME AS ABOVE

SIGNATURE OF INVENTOR _____

DATE _____

FULL NAME OF SECOND JOINT INVENTOR: Mohamed IBRAHIM FAHMY

COUNTRY OF CITIZENSHIP: Spain

FULL RESIDENCE ADDRESS: Instituto de Tecnología Química
Consejo Superior de Investigaciones Científicas
Universidad Politécnica de Valencia
Los Naranjos, s/n
E-46022 Valencia
Spain

FULL POST OFFICE ADDRESS: SAME AS ABOVE

SIGNATURE OF INVENTOR _____

DATE _____

Attorney Docket No.: 2429-1-024

FULL NAME OF THIRD JOINT INVENTOR: Juan MUÑOZ PALLARES

COUNTRY OF CITIZENSHIP: Spain

FULL RESIDENCE ADDRESS: Instituto de Tecnología Química
Consejo Superior de Investigaciones
Científicas
Universidad Politécnica de Valencia
Los Naranjos, s/n
E-46022 Valencia
Spain

FULL POST OFFICE ADDRESS: SAME AS ABOVE

SIGNATURE OF INVENTOR _____

DATE _____

FULL NAME OF FOURTH JOINT INVENTOR: Pilar MOYA SANZ

COUNTRY OF CITIZENSHIP: Spain

FULL RESIDENCE ADDRESS: Instituto de Tecnología Química
Consejo Superior de Investigaciones
Científicas
Universidad Politécnica de Valencia
Los Naranjos, s/n
E-46022 Valencia
Spain

FULL POST OFFICE ADDRESS: SAME AS ABOVE

SIGNATURE OF INVENTOR _____

DATE _____

INSTRUCCIONES PARA CUMPLIMENTAR DOCUMENTOS DE CESIÓN

(="ASSIGNMENT")

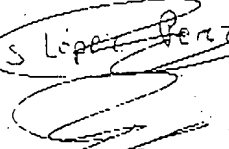
PARA SOLICITUDES DE PATENTE EN ESTADOS UNIDOS.-

N/REF: 120030174 / M AD.

Deberá ser **firmado** por el/los **inventor/es** en los espacios señalados con una cruz a lápiz, con nombre y dos apellidos, letra clara, legible (no de imprenta) y sin rúbrica:

Asimismo deberá ser **fechado** por el/los **inventor/es** en los lugares señalados con la palabra inglesa "date", de su puño y letra y con números.

Ejemplos relativos a la firma:

1. Luis López Pérez
2. LUIS LEPIZ PEREZ
3. ~~Luis López Pérez~~
4. 

CORRECTO

INCORRECTO

INCORRECTO

INCORRECTO

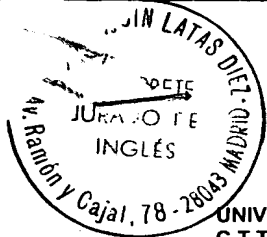
Ejemplos relativos a la forma de fechar el documento:

1. 17-09-2004
2. 17 Septiembre 2004
3. 17-IX-2004

CORRECTO

INCORRECTO

INCORRECTO



DOC. 7

UNIVERSIDAD POLITECNICA DE VALENCIA
C.T.T. INTERNAL REGISTER
EXIT 00036
05/10/2004 14:04

UNIVERSIDAD
POLITECNICA
DE VALENCIA

Juan Muñoz Pallarés
C/ Franciso Cubells, 14, bajo
46011 Valencia

CENTRO DE APOYO A
LA INNOVACIÓN, LA
INVESTIGACIÓN Y LA
TRANSFERENCIA DE
TECNOLOGÍA
Universidad Politécnica de
Valencia

CTT

Valencia, 29 September 2004

Dear sir,

I am writing to you in relation to the application for national patent with file number **P200100266** bearing the title "**Carrier vehicle for spores of an entomopathogenic microorganism and method for combating harmful insects**" in which you are noted as one of the inventors.

I am notifying you that this University has decided to proceed with the international extension of that application, with all the expenses of the processing being borne by it.

By virtue of this letter, I inform you of your right to be noted as inventor in all documents making reference to the invention such as scientific papers, patents, etc. In this regard, I am enclosing a document for presentation and processing in the United States for the corresponding patent application in the name of the Universidad Politecnica de Valencia and Consejo Superior de Investigaciones Cientificas. I would be grateful if you could return this documentation to the CTT once you have signed it, doing so by means of messenger to be paid for by the CTT or by any other means that you consider appropriate, at your earliest possible convenience.

For our part, we shall continue to inform you of any occurrences taking place during the processing and any modifications and final versions of the patent specification.

In the event that you wish to waive this right, the application shall in any case be processed in the same manner in order not to harm the rights of the other inventors, as well as those of this University and the Consejo Superior de Investigaciones Cientificas, as the legal holders of the exploitation rights over the patent.

Thanking you in advance for your collaboration.

Yours faithfully,

Sila Durán
Patents Manager
CTT

ASSIGNMENT

WHEREAS, WE, Eduardo PRIMO YUFERA, a citizen of SPAIN, residing at

Instituto de Tecnología Química
Consejo Superior de Investigaciones Científicas
Universidad Politécnica de Valencia
Los Naranjos, s/n
E-46022 VALENCIA
Spain

And

Mohamed IBRAHIM FAHMY, a citizen of SPAIN, residing at

Instituto de Tecnología Química
Consejo Superior de Investigaciones Científicas
Universidad Politécnica de Valencia
Los Naranjos, s/n
E-46022 VALENCIA
Spain

And

Juan MUÑOZ PALLARES a citizen of SPAIN, residing at

Instituto de Tecnología Química
Consejo Superior de Investigaciones Científicas
Universidad Politécnica de Valencia
Los Naranjos, s/n
E-46022 VALENCIA
Spain

And

Pilar MOYA SANZ a citizen of SPAIN, residing at

Instituto de Tecnología Química
Consejo Superior de Investigaciones Científicas
Universidad Politécnica de Valencia
Los Naranjos, s/n
E-46022 VALENCIA
Spain

ASSIGNORS, have invented new and useful improvements in

ESTOMOPATHOGENIC MICROORGANISM SPORES CARRIER AND METHOD FOR CONTROLLING HARMFUL INSECTS

for which we have filed an Application for Letters Patent in the United States on
; and

Attorney Docket No.:

WHEREAS,

- 1.- CONSEJO SUPERIOR DE INVESTIGACIONES CIENTÍFICAS
- 2.- UNIVERSIDAD POLITÉCNICA DE VALENCIA

located at

- 1.- Serrano, 117 / E-28006 MADRID / Spain
- 2.- Camino de Vera, s/n / E-46022 VALENCIA / Spain

ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application;

NOW, THEREFORE, for other good and valuable consideration, the receipt of which is hereby acknowledged, we the said

Eduardo PRIMO YUFERA; Mohamed IBRAHIM FAHMY;
Juan MUÑOZ PALLARES and Pilar MOYA SANZ

have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, to the said

CONSEJO SUPERIOR DE INVESTIGACIONES CIENTÍFICAS
UNIVERSIDAD POLITÉCNICA DE VALENCIA

its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, including the right to claim priority under the terms of any appropriate International Convention based upon said application for Letters Patent of the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patent and Trademarks of the United States and any official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said.

CONSEJO SUPERIOR DE INVESTIGACIONES CIENTÍFICAS
UNIVERSIDAD POLITÉCNICA DE VALENCIA

its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the interest herein assigned in the manner hereinabove set forth, and that we have not executed, and will not execute, any agreement in conflict herewith.

Attorney Docket No.:

AND WE HEREBY further covenant and agree that we will communicate to the said

CONSEJO SUPERIOR DE INVESTIGACIONES CIENTÍFICAS
UNIVERSIDAD POLITÉCNICA DE VALENCIA

its successors, legal representatives and assigns, any fact known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisions, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said

CONSEJO SUPERIOR DE INVESTIGACIONES CIENTÍFICAS
UNIVERSIDAD POLITÉCNICA DE VALENCIA

its successors, legal representatives and assigns, to obtain and enforce proper Patent Protection for said improvements in the United States.

IN TESTIMONY WHEREOF, we hereunto set our hand seal the day and year set opposite our signatures.

Date: _____ L.S.
Eduardo PRIMO YUFERA

Date: _____ L.S.
Mohamed IBRAHIM FAHMY

Date: _____ L.S.
Juan MUÑOZ PALLARES

Date: _____ L.S.
Pilar MOYA SANZ



UNIVERSIDAD POLITÉCNICA DE VALENCIA
REGISTRO INTERNO C.T.T.
SALIDA 002035
05/10/2004 14:03

DOC. 6



UNIVERSIDAD
POLITECNICA
DE VALENCIA

CENTRO DE APOYO
A LA INNOVACIÓN,
LA INVESTIGACIÓN
Y LA TRANSFERENCIA
DE TECNOLOGÍA



Universidad Politécnica de Valencia

Juan Muñoz Pallarés

Paseo Juan de la Cierva, 6
46900, TORRENTE
VALENCIA

Valencia, 29 de septiembre 2004.

Estimado señor:

Le escribo con relación a la solicitud de patente nacional con número de expediente **P200100266**, que lleva por título **"Vehículo portador de esporas de un microorganismo entomopatógeno y método para combatir insectos dañinos"**, en la que usted consta como uno de los inventores.

Le comunico que esta Universidad ha decidido proceder a la extensión internacional de la citada solicitud, corriendo de su cargo todos los gastos de la tramitación.

Por la presente carta, le informo de su derecho a constar como inventor en todos los documentos que hagan referencia a la invención como artículos científicos, patentes, etc. En este sentido, adjunto un documento para la presentación y tramitación en Estados Unidos de la correspondiente solicitud de patente en nombre de la Universidad Politécnica de Valencia y Consejo Superior de Investigaciones Científicas. Le ruego proceda a la devolución al CTT de esta documentación una vez firmada, a través de mensajero a gastos pagado por el CTT o por cualquier otro medio que considere oportuno, a la mayor brevedad.

Por nuestra parte, le seguiremos informando de todas las incidencias que se produjesen en la tramitación, así como de las modificaciones y versiones definitivas de la memoria de patente.

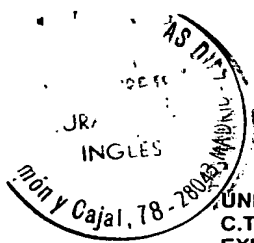
En caso de que renunciase a este derecho, la solicitud se tramitará igualmente, a fin de no perjudicar el derecho de los demás inventores, así como los de esta Universidad y del Consejo Superior de Investigaciones Científicas, como titulares legales de los derechos de explotación sobre la patente.

Le agradezco de antemano su colaboración.

Atentamente,

Sila Durán
Responsable de Patentes
CTT

Universidad Politécnica de Valencia. Centro de Apoyo a la Innovación, la Investigación y la Transferencia de Tecnología.- CTT. Edif. II y I2. Camino de Vera s/n. 46022. VALENCIA. Tel: 96 387 74 09. Fax 96 387 79 49.



DOC. 6

UNIVERSIDAD POLITÉCNICA DE VALENCIA
C.T.T. INTERNAL REGISTER
EXIT 002035
05/10/2004 14:03

UNIVERSIDAD
POLITÉCNICA
DE VALENCIA

Juan Muñoz Pallarés
Paseo Juan de la Cierva, 6
46900 TORRENTE
VALENCIA

CENTRO DE APOYO A
LA INNOVACIÓN, LA
INVESTIGACIÓN Y LA
TRANSFERENCIA DE
TECNOLOGÍA
Universidad Politécnica de
Valencia

CTT

Valencia, 29 September 2004

Dear sir,

I am writing to you in relation to the application for national patent with file number **P200100266** bearing the title **"Carrier vehicle for spores of an entomopathogenic microorganism and method for combating harmful insects"** in which you are noted as one of the inventors.

I am notifying you that this University has decided to proceed with the international extension of that application, with all the expenses of the processing being borne by it.

By virtue of this letter, I inform you of your right to be noted as inventor in all documents making reference to the invention such as scientific papers, patents, etc. In this regard, I am enclosing a document for presentation and processing in the United States for the corresponding patent application in the name of the Universidad Politécnica de Valencia and Consejo Superior de Investigaciones Científicas. I would be grateful if you could return this documentation to the CTT once you have signed it, doing so by means of messenger to be paid for by the CTT or by any other means that you consider appropriate, at your earliest possible convenience.

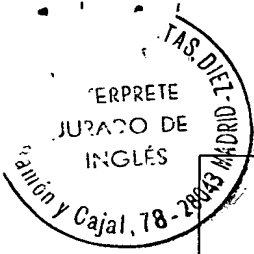
For our part, we shall continue to inform you of any occurrences taking place during the processing and any modifications and final versions of the patent specification.

In the event that you wish to waive this right, the application shall in any case be processed in the same manner in order not to harm the rights of the other inventors, as well as those of this University and the Consejo Superior de Investigaciones Científicas, as the legal holders of the exploitation rights over the patent.

Thanking you in advance for your collaboration.

Yours faithfully,

Sila Durán
Patents Manager
CTT



CERTIFICACION

Don José Joaquín Latas Díez,
interprete jurado de lengua inglesa,
certifica que la que antecede es traducción
fiel y completa a lengua inglesa
de un documento redactado en castellano.

En Madrid, a 18 de enero de 2006

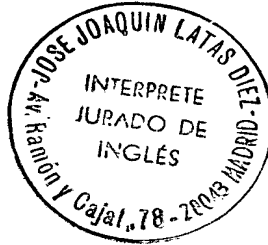
CERTIFICATION

Mr. José Joaquín Latas Díez, sworn translator
of the English language certifies, that the
preceding translation is a true and complete
version into English of a document written in
Spanish.

Madrid, on the 18th January 2006

A handwritten signature in black ink, consisting of a large loop and a vertical stroke.

José Joaquín Latas Díez



INSTRUCCIONES PARA CUMPLIMENTAR DOCUMENTOS DE PODER
(="POWERS OF ATTORNEY")

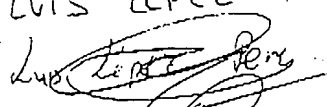

PARA SOLICITUDES DE PATENTE EN ESTADOS UNIDOS.-

N/REF: 120030174/MAD

Deberá ser firmado por el/los inventor/es en los espacios señalados con una cruz a lápiz, con nombre y dos apellidos, letra clara, legible (no de imprenta) y sin rúbrica.

Asimismo deberá ser fechado por el/los inventor/es en los lugares señalados con la palabra inglesa "date", de su puño y letra y con números.

Ejemplos relativos a la firma:

1. Luis López Pérez
2. LUIS LCPEZ PEREZ
3. 
4. 

CORRECTO

INCORRECTO

INCORRECTO

INCORRECTO

Ejemplos relativos a la forma de fechar el documento:

1. 17-09-2004
2. 17 Septiembre 2004
3. 17-IX-2004

CORRECTO

INCORRECTO

INCORRECTO



CTT

05 OCT. 2004

Attorney Docket No. 2429-1-024

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below under my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**ENTOMOPATHOGENIC MICROORGANISM SPORES CARRIER AND METHOD
FOR CONTROLLING HARMFUL INSECTS**

the Specification of which

☒ is attached hereto
☐ was filed on

I hereby state that I have reviewed and understand the contents of the above-identified Specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 (a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

<u>APPLICATION</u> <u>NUMBER</u>	<u>PRIOR FOREIGN FILED APPLICATION(S)</u> <u>COUNTRY</u> <u>(MONTH/DAY/YYYY)</u>	<u>PRIORITY</u> <u>CLAIMED</u>
200100266	SPAIN January 30, 2001	YES

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

APPLICATION NUMBER(S)
NONE

FILING DATE (MM/DD/YYYY)

Attorney Docket No.: 2429-1-024

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent <u>Application No.</u>	PCT Parent <u>Number</u> PCT/ES02/00043	Parent Filing <u>(MM/DD/YYYY)</u> January 30, 2002	Parent Patent <u>Number (if applicable)</u>
---------------------------------------	---	--	--

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from **UNGRIA PATENTES Y MARCAS, S.A.** as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

I hereby appoint as my attorneys or agents the registered persons identified under

Customer No. 23565

for the law firm of Klauber & Jackson, said attorneys or agents with full power of substitution and revocation to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Please address all correspondence regarding this application to **Customer No. 23565.**

DAVID A. JACKSON, ESQ.
KLAUBER & JACKSON
411 HACKENSACK AVENUE
HACKENSACK, NEW JERSEY 07601

Direct all telephone calls to David A. Jackson at (201) 487-5800.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the

Attorney Docket No.: 2429-1-024

United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF FIRST JOINT INVENTOR: Eduardo PRIMO YUFERA

COUNTRY OF CITIZENSHIP: Spain

FULL RESIDENCE ADDRESS: Instituto de Tecnología Química
Consejo Superior de Investigaciones Científicas
Universidad Politécnica de Valencia
Los Naranjos, s/n
E-46022 Valencia
Spain

FULL POST OFFICE ADDRESS: SAME AS ABOVE

SIGNATURE OF INVENTOR _____

DATE _____

FULL NAME OF SECOND JOINT INVENTOR: Mohamed IBRAHIM FAHMY

COUNTRY OF CITIZENSHIP: Spain

FULL RESIDENCE ADDRESS: Instituto de Tecnología Química
Consejo Superior de Investigaciones Científicas
Universidad Politécnica de Valencia
Los Naranjos, s/n
E-46022 Valencia
Spain

FULL POST OFFICE ADDRESS: SAME AS ABOVE

SIGNATURE OF INVENTOR _____

DATE _____

Attorney Docket No.: 2429-1-024

FULL NAME OF THIRD JOINT INVENTOR: Juan MUÑOZ PALLARES

COUNTRY OF CITIZENSHIP: Spain

FULL RESIDENCE ADDRESS: Instituto de Tecnología Química
Consejo Superior de Investigaciones
Científicas
Universidad Politécnica de Valencia
Los Naranjos, s/n
E-46022 Valencia
Spain

FULL POST OFFICE ADDRESS: SAME AS ABOVE

SIGNATURE OF INVENTOR _____

DATE _____

FULL NAME OF FOURTH JOINT INVENTOR: Pilar MOYA SANZ

COUNTRY OF CITIZENSHIP: Spain

FULL RESIDENCE ADDRESS: Instituto de Tecnología Química
Consejo Superior de Investigaciones
Científicas
Universidad Politécnica de Valencia
Los Naranjos, s/n
E-46022 Valencia
Spain

FULL POST OFFICE ADDRESS: SAME AS ABOVE

SIGNATURE OF INVENTOR _____

DATE _____

INSTRUCCIONES PARA CUMPLIMENTAR DOCUMENTOS DE CESION

(="ASSIGNMENT")

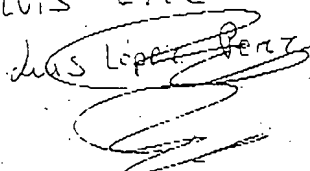

PARA SOLICITUDES DE PATENTE EN ESTADOS UNIDOS.-

N/REF: 120030174/MAD

Deberá ser **firmado** por el/los **inventor/es** en los espacios señalados con una cruz a lápiz, con nombre y dos apellidos, letra clara, legible (no de imprenta) y sin rúbrica:

Asímismo deberá ser **fechado** por el/los **inventor/es** en los lugares señalados con la palabra inglesa "date", de su puño y letra y con números.

Ejemplos relativos a la firma:

1. Luis López Pérez
2. LUIS LOPEZ PEREZ
3. 
4. 

CORRECTO

INCORRECTO

INCORRECTO

INCORRECTO

Ejemplos relativos a la forma de fechar el documento:

1. 17-09-2004
2. 17 Septiembre 2004
3. 17-IX-2004

CORRECTO

INCORRECTO

INCORRECTO

ASSIGNMENT

WHEREAS, WE, Eduardo PRIMO YUFERA, a citizen of SPAIN, residing at

Instituto de Tecnología Química
Consejo Superior de Investigaciones Científicas
Universidad Politécnica de Valencia
Los Naranjos, s/n
E-46022 VALENCIA
Spain

And Mohamed IBRAHIM FAHMY, a citizen of SPAIN, residing at

Instituto de Tecnología Química
Consejo Superior de Investigaciones Científicas
Universidad Politécnica de Valencia
Los Naranjos, s/n
E-46022 VALENCIA
Spain

And Juan MUÑOZ PALLARES a citizen of SPAIN, residing at

Instituto de Tecnología Química
Consejo Superior de Investigaciones Científicas
Universidad Politécnica de Valencia
Los Naranjos, s/n
E-46022 VALENCIA
Spain

And Pilar MOYA SANZ a citizen of SPAIN, residing at

Instituto de Tecnología Química
Consejo Superior de Investigaciones Científicas
Universidad Politécnica de Valencia
Los Naranjos, s/n
E-46022 VALENCIA
Spain

ASSIGNORS, have invented new and useful improvements in

ESTOMOPATHOGENIC MICROORGANISM SPORES CARRIER AND METHOD FOR CONTROLLING HARMFUL INSECTS

for which we have filed an Application for Letters Patent in the United States on
; and

Attorney Docket No.:

WHEREAS,

- 1.- CONSEJO SUPERIOR DE INVESTIGACIONES CIENTÍFICAS
- 2.- UNIVERSIDAD POLITÉCNICA DE VALENCIA

located at

- 1.- Serrano, 117 / E-28006 MADRID / Spain
- 2.- Camino de Vera, s/n / E-46022 VALENCIA / Spain

ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application;

NOW, THEREFORE, for other good and valuable consideration, the receipt of which is hereby acknowledged, we the said

Eduardo PRIMO YUFERA; Mohamed IBRAHIM FAHMY;
Juan MUÑOZ PALLARES and Pilar MOYA SANZ

have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, to the said

CONSEJO SUPERIOR DE INVESTIGACIONES CIENTÍFICAS
UNIVERSIDAD POLITÉCNICA DE VALENCIA

its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, including the right to claim priority under the terms of any appropriate International Convention based upon said application for Letters Patent of the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patent and Trademarks of the United States and any official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said.

CONSEJO SUPERIOR DE INVESTIGACIONES CIENTÍFICAS
UNIVERSIDAD POLITÉCNICA DE VALENCIA

its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the interest herein assigned in the manner hereinabove set forth, and that we have not executed, and will not execute, any agreement in conflict herewith.

Attorney Docket No.:

AND WE HEREBY further covenant and agree that we will communicate to the said

CONSEJO SUPERIOR DE INVESTIGACIONES CIENTÍFICAS
UNIVERSIDAD POLITÉCNICA DE VALENCIA

its successors, legal representatives and assigns, any fact known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisions, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said

CONSEJO SUPERIOR DE INVESTIGACIONES CIENTÍFICAS
UNIVERSIDAD POLITÉCNICA DE VALENCIA

its successors, legal representatives and assigns, to obtain and enforce proper Patent Protection for said improvements in the United States.

IN TESTIMONY WHEREOF, we hereunto set our hand seal the day and year set opposite our signatures.

Date: _____ L.S.
Eduardo PRIMO YUFERA

Date: _____ L.S.
Mohamed IBRAHIM FAHMY

Date: X _____ L.S.
Juan MUÑOZ PALLARES

Date: _____ L.S.
Pilar MOYA SANZ



Form 35
ACKNOWLEDGEMENT OF RECEIPT

Spanish Mail Service

FRANKED

Office from where sent:
(note details)

CD 00165318167

Mail No. deposited on

Class of certified mail: (1)

Declared value Euros (2)

Postal Order in Euros (2)

(3)

Addressee: Juan Muñoz Pallares

Address: San Vicente Mártir

..... No. 276 Floor

Post

Code 46002 Town or city: (Valencia)

..... TORRENTE

- (1) Letter, form etc.
- (2) Cross out as appropriate
- (3) Note down as wished

This part for
the SENDER of the mail

Return to:

Mr/Ms CTT - UPV

..... Sila Durán

Address:

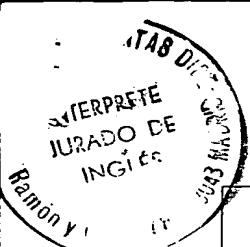
..... No. 6 Floor

Post Code

Town or city:

.....

Province:



CERTIFICACION

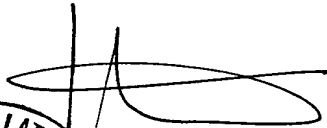
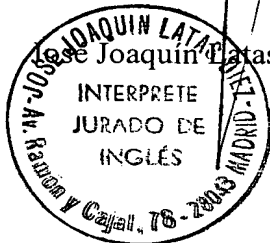
Don José Joaquín Latas Díez,
interprete jurado de lengua inglesa,
certifica que la que antecede es traducción
fiel y completa a lengua inglesa
de un documento redactado en castellano.

En Madrid, a 18 de enero de 2006

CERTIFICATION

Mr. José Joaquín Latas Díez, sworn translator
of the English language certifies, that the
preceding translation is a true and complete
version into English of a document written in
Spanish.

Madrid, on the 18th January 2006


José Joaquín Latas Díez
INTERPRETE
JURADO DE
INGLÉS




UNIVERSIDAD POLITÉCNICA DE VALENCIA
REGISTRO INTERNO C.T.T.
SALIDA 002038
06/10/2004 14:04



UNIVERSIDAD
POLITÉCNICA
DE VALENCIA

CENTRO DE APOYO
A LA INNOVACIÓN,
LA INVESTIGACIÓN
Y LA TRANSFERENCIA
DE TECNOLOGÍA
Universidad Politécnica de Valencia



Juan Muñoz Pailarés
C/ Francisco Cubells, nº 14, bajo
46011 Valencia

Valencia, 29 de septiembre 2004.

Estimado señor:

Le escribo con relación a la solicitud de patente nacional con número de expediente P200100266, que lleva por título "**Vehículo portador de esporas de un microorganismo entomopatígeno y método para combatir insectos dañinos**", en la que usted consta como uno de los inventores.

Le comunico que esta Universidad ha decidido proceder a la extensión internacional de la citada solicitud, corriendo de su cargo todos los gastos de la tramitación.

Por la presente carta, le informo de su derecho a constar como inventor en todos los documentos que hagan referencia a la invención como artículos científicos, patentes, etc. En este sentido, adjunto un documento para la presentación y tramitación en Estados Unidos de la correspondiente solicitud de patente en nombre de la Universidad Politécnica de Valencia y Consejo Superior de Investigaciones Científicas. Le ruego proceda a la devolución al CTT de esta documentación una vez firmada, a través de mensajero a gastos pagado por el CTT o por cualquier otro medio que considere oportuno, a la mayor brevedad.

Por nuestra parte, le seguiremos informando de todas las incidencias que se produjesen en la tramitación, así como de las modificaciones y versiones definitivas de la memoria de patente.

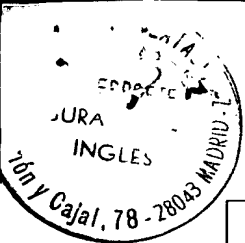
En caso de que renunciase a este derecho, la solicitud se tramitará igualmente, a fin de no perjudicar el derecho de los demás inventores, así como los de esta Universidad y del Consejo Superior de Investigaciones Científicas, como titulares legales de los derechos de explotación sobre la patente.

Le agradezco de antemano su colaboración.

Atentamente,

Sila Durán
Responsable de Patentes
CTT

Universidad Politécnica de Valencia. Centro de Apoyo a la Innovación, la Investigación y la Transferencia de Tecnología.- CTT. Edif. 11 y 12. Camino de Vera s/n. 46022. VALENCIA. Tel: 96 387 74 09. Fax 96 387 79 49.



CERTIFICACION

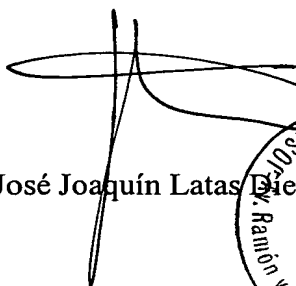
Don José Joaquín Latas Díez,
interprete jurado de lengua inglesa,
certifica que la que antecede es traducción
fiel y completa a lengua inglesa
de una copia de un documento redactado en
castellano.

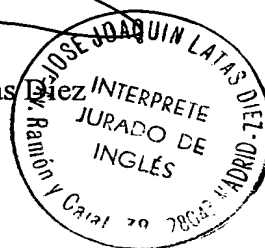
En Madrid, a 18 de enero de 2006

CERTIFICATION

Mr. José Joaquín Latas Díez, sworn translator
of the English language certifies, that the
preceding translation is a true and complete
version into English of a copy of a document
written in Spanish.

Madrid, on the 18th January 2006


José Joaquín Latas Díez



INTERPRETE
JURADO DE
INGLES
10/10/04

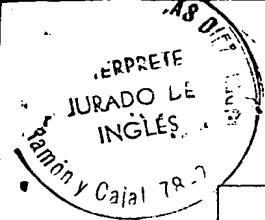
Spanish Mail Service		Form 35
ACKNOWLEDGEMENT OF RECEIPT		FRANKED
Office from where sent: (note details) CD 00165318166 Mail No. deposited on Class of certified mail: (1) Declared value Euros (2) Postal Order in Euros (2) (3) Addressee: Juan Muñoz Pallares Address: Francisco Cubells No. 14 Floor: ground..... Post Code 46011 Town or city: (Valencia) TORRENTE (1) Letter, form etc. (2) Cross out as appropriate (3) Note down as wished	This part for the SENDER of the mail Return to: Mr/Ms CTT - UPV Sila Durán Address: No. 6 Floor Post Code Town or city: Province:	

(BACK SIDE)

Delivered: on October 13th 2004
to: Juan Muñoz
Identity Card Number: 3822607

(signed by the employee of the receipt office)
(signed by Mr. Muñoz)

(STAMP: VALENCIA 13 October 2004)



CERTIFICACION

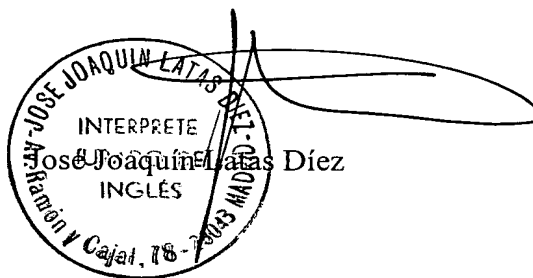
Don José Joaquín Latas Díez,
interprete jurado de lengua inglesa,
certifica que la que antecede es traducción
fiel y completa a lengua inglesa
de un documento redactado en castellano.

En Madrid, a 18 de enero de 2006

CERTIFICATION

Mr. José Joaquín Latas Díez, sworn translator
of the English language certifies, that the
preceding translation is a true and complete
version into English of a document written in
Spanish.

Madrid, on the 18th January 2006





Correos y Telégrafos

Oficina de origen del envío: Oficina de origen del envío
(que consignará los datos) (que indicará los datos)

CD 00165318168

Enviado a: depositado en
El momento de depositar en la

Clase de envío certificado:
Clase y de envío certificado:

Valor declarado en Euros
Valor declarado en Euros

Giro Postal de Euros
Giro Postal de Euros

(3)

Destinatario: Juan Muñoz Palaci
Destinatario: Juan Muñoz Palaci

Domicilio: Calle Juan de la Cierva
Domicilio: Calle Juan de la Cierva

Adreça: N.º 6
Adreça: N.º 6

Código Postal: 46900
Código Postal: 46900

C. Postal: Valencia
C. Postal: Valencia

(1) Carta, impreso, etc.
(2) Tarjetas si procede
(3) Hecho constar lo que se desea

RECIBO

10/030367

Mod. 35
AVISO DE RECIBO
ACUSAMIENT DE RECEPCIO

Parte destinada
al EXPEDIDOR del envío

Parte destinada
a L'EXPEDIDOR de l'enviament

FRANQUEO
FRANQUEIG

Devolver a
Tomar a:

D. / Dña

Cita Dña

Domicilio:

Adreça:

Código Postal

C. Postal

Población

Població

Provincia:



El que suscribe declara que
Qui subscriu aquest document declara que

entregado el día 13-10-2004

de D.L. 382627

el envío
resonado en el anverso
indicat en l'anvers ha estat
siendo

debidamente
pagado el día

a D.

per

Sello de la oficina de destino Segell de l'oficina de destinació	(del empleado de la oficina de destino) (de l'empleat de l'oficina de destinació)	Firma (1) / Signatura (1)	(del destinatario) / (del destinatari)
---	--	---------------------------	--



de ser tonat després directament amb el primer correu al emissor de l'enviament.

(1) Aquestes dades han de ser firmades pel destinatari o la persona autoritzada en el seu cas, per l'empleat de l'oficina de destinació, ha de ser tonat després directament amb el primer correu al emissor de l'enviament.

JOSE JOAQUIN
NTRA
AV-JOSE JOAQUIN
de Ramon

El que suscribe declara que el envío resenado en el anverso ha sido
Qui subscriu aquest document declara que el gipso indicat en l'anvers ha estat

entregado
pagado
el dia
a D
per

DNI:

Sello de la oficina de destino Segell de l'oficina de destinació	Firma (f) / Signatura (f)
(del empleado de la oficina de destino) (de l'empleat de l'oficina de destinació)	(del destinatario) / (del destinatari)

(1) Este aviso debe ser firmado por el destinatario o persona autorizada en su caso por el empleado de la oficina de destino y devuelto directamente por primer correo al remitente del envío a que corresponda

(1) Aquest avis ha de ser firmat per destinatari o la persona autoritzada en el seu cas per l'empleat de l'oficina de destinació i ha de ser tornat després directament amb el primer correu al remitent de l'enviament

17 MINIMUNO

D.N.I.

Firma (1) / Signatura (1)

(1) Este aviso debe ser firmado por el destinatario o, persona autorizada en su caso, por el empleado de la oficina de destino y devuelto directamente por primer correo, al remitente del envío a que corresponda.

(1) Aquest avís ha de ser firmat pel destinatari (o la persona autoritzada en el seu cas), per l'empleat de l'oficina de destinació i ha de ser tornat després directament amb el primer correu al remitent de l'enviament.



Correos y Telégrafos

Oficina de origen del envío: Oficina d origen de l'enviament
(que consignará los datos) (Que indicarà les dades)

Envío n.º **CD 00165318167**

Envío n.º **CD 00165318167**

Envío n.º **CD 00165318167**

Envío n.º **CD 00165318167**

Envío n.º **CD 00165318167**

Envío n.º **CD 00165318167**

Envío n.º **CD 00165318167**

Envío n.º **CD 00165318167**

Envío n.º **CD 00165318167**

Envío n.º **CD 00165318167**

Envío n.º **CD 00165318167**

Envío n.º **CD 00165318167**

Envío n.º **CD 00165318167**

Envío n.º **CD 00165318167**

Envío n.º **CD 00165318167**

Envío n.º **CD 00165318167**

Envío n.º **CD 00165318167**

(1) Carta, impreso, etc.
(2) Tarjetas, si procede.
(3) Hacer constar lo que se desea
RECIBIR

(1) Carta, impreso, etc.
(2) Especificar si es para
(3) Hacer constar lo que se desea
RECIBIR

Destinatario: **Juan Luna Robles**
Domicilio: **San Vicente Marty**
Código Postal: **46002** Población: **Valencia**

Devolver a:
Tomar a:
D / Día: **CTT - UPV**
Domicilio: **Sic. D. A. R. A. S.**
Código Postal: **46002** Población: **Valencia**



Mod. 35
AVISO DE RECIBO
ACUSAMIENT DE RECEPCIO

Mod. 35

AVISO DE RECIBO
ACUSAMENT DE RECEPCIÓ



Oficina de origen del envío: Oficina d'origen de l'enviament:
(que consignará los datos) (Que indicará les dades)

CD 00165318166

Envío n.º: depositado al
Enviament n.º: dipositat el dia
Clase de envío certificado:
Classe d'enviament certificat:
Valor declarado en Euros
Valor declarat en Euros
Giro Postal en Euros
Gir postal en Euros
(3)

Destinatario:
Destinatarí:
Domicilio:
Domicili:
Adreça:
Código Postal:
Codi Postal:
Población:
Població:

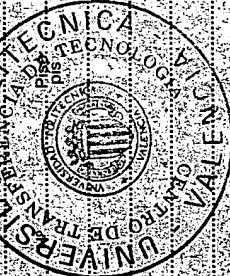
(1) Carta, Impreso, etc.
(2) Enbrou-ho, al recai.
(3) Feu constar als que desti-
gen

Parte destinada al EXPEDIDOR del em-
Part destinada a l'EXPEDIDOR de l'em-

FRANQUEO
FRANQUEIG
PAGADO
CARTAS

Devolver a:
Tornar a:
D./Dia

CTT - UPV
S. C. J. J. J.



Domicilio:
Adreça:
Código Postal:
Codi Postal:
Población:
Població:
Provincia:

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.